

J1025 U.S. PTO  
02/05/02

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PTO/SB/05 (08-00)

Approved for use through 10/31/2000 OMB 0651-0032

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2-11-22 A

# UTILITY PATENT APPLICATION TRANSMITAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No 00801.0137 CNUS18

First Inventor Guy della-Cioppa

Title Method of Determining the Function of  
Nucleotide Sequences and the ....

Express Mail EL615210378US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status  
See 37 CFR 1.27.
- Specification [Total Pages **119**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross reference to Related Applications
  - Statement Regarding Fed Sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U S C. 113) [Total Sheets **28**]
- Oath or Declaration [Total Pages **4**]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuational divisional with Box 17 completed)
    - i  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i  CD-ROM or CD-R (2 copies); or
    - ii  paper
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information disclosure  Copies of IDS Statement (IDS)/PTO-1449 Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Other Request for Transferring Previous Filed Sequence Information

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

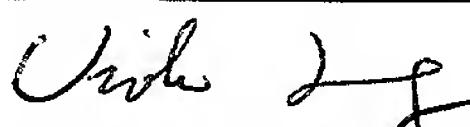
 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.. 09/232,170 filed January 15, 1999

Prior application information    Examiner J. Zara    Group I Art Unit: 1635

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	27194	or <input checked="" type="checkbox"/> Correspondence address below	
Name	Howrey Simon Arnold & White, LLP		
Address	301 Ravenswood Avenue		
City	Menlo Park	State	CA
Country	USA	Telephone	650-463-8109
Zip Code	94025		
Fax	650-463-8400		

NAME (Print/Type)	Albert Halluin; Viola T.Kung	Registration No. (Attorney/Agent)	25,227; 41,131
Signature			Date
			Feb. 5, 2002

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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02/05/02J1046 U.S. PTO  
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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$1,254.00)

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	February 5, 2002
First Named Inventor	Guy della-Cioppa
Examiner Name	J Zara
Group Art Unit	1635
Attorney Docket No	00801.0137 CNUS18

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																																													
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Depositor Acct. No. <b>08-3038</b></p> <p>Deposit Account Name <b>Howrey Simon Arnold &amp; White, LLP</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>				<p>3. 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Albert P Halluin, Viola T Kung	Registration No (Attorney/Agent)	25,227, 41,131
Signature		Telephone	650-463-8109
		Date	Feb. 5, 2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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**EXPRESS MAIL NO: EL615210378US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Guy della-Cioppa, *et al.*

Application Serial No. Not yet assigned

Filed: February 5, 2002

Art Unit: 1635

Examiner: J. Zara

Attorney's Docket No: 00801.0137.CNUS18

For: **METHOD OF DETERMINING  
THE FUNCTION OF NUCLEOTIDE  
SEQUENCES AND THE PROTEINS  
THEY ENCODE BY  
TRANSFECTING THE SAME  
INTO A HOST**

**REQUEST FOR TRANSFERRING  
PREVIOUS FILED SEQUENCE INFORMATION**

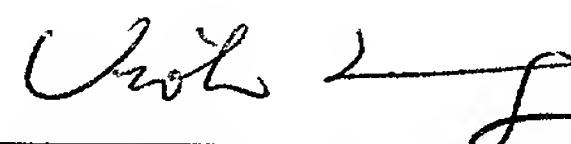
**BOX PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The paper copy of the Sequence Listing in this Application is identical to the computer readable copy of the Sequence Listing submitted in Application 09/232,170, filed January 15, 1999. In accordance with § 37 CFR 1.821(e), please use the computer readable form filed in the 09/232,170 application as the computer readable form for the instant Application. It is understood that the Patent and Trademark Office will make the necessary changes in the Application number and filing date for the instant Application.

Respectfully submitted,



Albert P. Halluin (Reg. No. 25,227)  
Viola T. Kung (Reg. No. 41,131)

Date: February 5, 2002

**HOWREY SIMON ARNOLD & WHITE, LLP**  
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Menlo Park, CA 94025-3434  
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